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| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) | | | Docket No. PES-D-04-019/PES-0218 |
| Applicant(s): DAVID E. HENDERSON et al. | | | |
| Application No. 10/711,658 | Filing Date 9/29/2004 | Examiner NYA | Group Art Unit 1746 |

Invention: GAS BARRIER FOR ELECTROCHEMICAL CELLS

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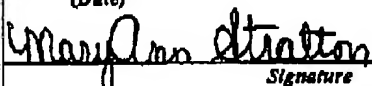

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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) PES-D-04-019/PES-0218 | | Application Number 10/711,658 | | |
| | | | | Applicant(s) DAVID E. HENDERSON et al. | | | | |
| | | | | Filing Date SEPTEMBER 29, 2004 | | Group Art Unit 1746 | | |
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| U.S. PATENT DOCUMENTS | | | | | | | | |
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
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| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
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| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| OTHER DOCUMENTS <i>(Including Author, Title, Date, Portion of Pages, Etc.)</i> | | | | | | | | |
| | | International Search Authority Search Report and Written Opinion for application no. PCT/US2005/025713 dated December 9, 2005. | | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | | |

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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | | Docket No. PES-D-04-019 | |
| In Re Application Of: DAVID E. HENDERSON | | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
| 10/711,658 | 9/29/2004 | NYA | 23462 | 1746 | 5657 |
| Title: GAS BARRIER FOR ELECTROCHEMICAL CELLS | | | | | |
| Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); <div style="text-align: center;">OR</div> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). | | | | | |

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|---|---------------------------------|------------------------|------------------------------|-------------------------------|---------------------------------|
| In Re Application of: DAVID E. HENDERSON | | | | | |
| Application No. 10/711,658 | Filing Date 9/29/2004 | Examiner NYA | Customer No. 23462 | Group Art Unit 1746 | Confirmation No. 5657 |
| Title: GAS BARRIER FOR ELECTROCHEMICAL CELLS | | | | | |
| <div style="text-align: center;">Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</div> <div style="margin-top: 10px;"><input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>06-1130</u> as described below.<div style="margin-left: 20px;"><input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div></div> <div style="margin-top: 10px;"><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"><div style="width: 48%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Transmission by Facsimile*</div><div style="font-size: x-small;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa</div><div style="margin-top: 10px;">June 28, 2006 (Date)</div><div style="margin-top: 10px;"> Signature</div><div style="margin-top: 10px;">MaryAnn Stratton Typed or Printed Name of Person Signing Certificate</div></div><div style="width: 48%; border: 1px solid black; padding: 5px;"><div style="text-align: center; font-weight: bold; font-size: small;">Certificate of Mailing by First Class Mail</div><div style="font-size: x-small;">I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</div><div style="margin-top: 10px;">_____ (Date)</div><div style="margin-top: 10px;">_____ Signature of Person Mailing Correspondence</div><div style="margin-top: 10px;">_____ Typed or Printed Name of Person Mailing Certificate</div></div></div> <div style="margin-top: 20px;"><div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><div style="font-size: x-small;">*This certificate may only be used if paying by deposit account.</div><div style="margin-top: 10px;"> Signature</div></div><div style="width: 50%; text-align: right;">Dated: June 28, 2006</div></div><div style="margin-top: 20px; font-size: small;">David Arnold Registration No. 48,894 Cantor Colburn LLP 55 Griffin Road South Bloomfield, CT 06002 860-286-2929</div></div> | | | | | |
| cc: | | | | | |

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